



Patent  
Atty. Docket 258/235

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE #

In re the Application of:

Jean-Pierre Perreault, et al.

Serial No.: 09/699,667

Filed: October 30, 2000

For: NUCLEIC ACID ENZYME FOR RNA  
CLEAVAGE

Group Art Unit: 1635

Examiner:

4/K.T.  
5/1/01  
I.D.S.

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with 37 CFR §§ 1.97 and 1.98, the items identified in this Information Disclosure Statement ("IDS") are brought to the attention of the Office. The items are listed on the attached form PTO-1449 and copies are enclosed for the convenience of the Examiner.

The items identified in this IDS may or may not be "material" pursuant to 37 CFR § 1.56. The submission thereof by Applicant is not to be construed as an admission that any such patent, publication or other information referred to therein is material or considered to be material (37 CFR § 1.97(h)), or even qualifies as "prior art" under 35 USC § 102 with respect to this invention unless specifically designated by Applicant as such.

INFORMATION DISCLOSURE STATEMENT FILING PROVISION:

☒ This IDS is believed to be timely in that it is being submitted under 37 CFR § 1.97(b), that is (1) within three months of the filing date of the application, which is not a continued prosecution application filed under § 1.53(d); or (2) within three months of entry of the national stage as set forth in 37 CFR §

CERTIFICATE OF MAILING  
(37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

April 20, 2001  
Date of Deposit

LA-190122.2

Felicia Reyes

Name of Person Mailing Paper

*Felicia Reyes*

Signature of Person Mailing Paper

1.491; or (3) before the mailing of a first Office action on the merits; or (4) before the mailing of a first Office action after filing a request for continued examination under § 1.114. Thus, no fee is required.

- ☒ However, if the undersigned is in error in this regard, Applicant respectfully requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if applicable, and charge the fee due under 37 CFR § 1.17(p) to the deposit account referenced below.

**PAYMENT AND/OR AUTHORIZATION TO CHARGE FEES:**

- ☒ The Commissioner is authorized to charge any fees required by the filing of these papers, and to credit any overpayment to Lyon & Lyon's Deposit Account No. **12-2475**.

Respectfully submitted,  
LYON & LYON LLP

Dated: April 20, 2001

By: 

Lois M. Kwasigroch  
Reg. No. 35,579



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# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

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## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1.	U.S. 5,225,337	06/07/1993	Robertson et al.			
	2.	U.S. 5,625,047	29/04/1997	Been et al.			

## FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
	3.	WO 94/02595	03/02/1994	Int. PCT/US93/06316				
	4.	WO 93/14218	22/07/1993	Int. PCT/US93/00292				
	5.	WO 93/05157	18/03/1993	Int. PCT/FR92/00840				
	6.	WO 92/07065	30/04/1992	Int. PCT/EP91/01811				

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	7..	PCT International Search Report, PCT/CA99/00391;
	8.	David V. Lazinski and John M. Taylor, (1995) Regulation of the hepatitis delta virus ribozymes: To cleave or not to cleave? RNA (1995), 1:225-233;

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| 30.  | M. Kashani-Sabet et al. (1992) Reversal of the Malignant Phenotype by an Anti-ras Ribozyme. Antisense Research and Development 2:3-15.  |

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